

# Michelle Malone

Independent Financial Advisor  
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This Brochure Supplement provides information about Michelle Malone that supplements the B. Riley Wealth Advisors, Inc. Brochure. You should have received a copy of that Brochure. Please contact B. Riley's Compliance Department if you did not receive the B. Riley Wealth Advisors Brochure or if you have any questions about the contents of this Supplement.

Additional information about this Financial Advisor is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2 – Business Experience and Educational Background**

**CRD #:** 24742307

**Year of Birth:** 1967

**Education:** Associates Degree, Erie Community College, 1989

**Business Experience:**

September 2020 to Present	Financial Advisor, B. Riley Wealth Advisors (f/k/a National Asset Management)
July 2013 to September 2020	Investment Advisor Representative, United Advisors Services, LLC

## **Item 3 – Disciplinary Information**

Ms. Malone has no disciplinary actions to disclose.

## **Item 4 – Other Business Activities**

Ms. Malone uses the business name Consilience Asset Management for marketing and/or tax purposes only but offers investment advice through the registered investment advisor described below.

Ms. Malone is an investment advisory representative (“IAR”) of B. Riley Wealth Advisors, Inc. (“BRWA”) and authorized to conduct business in the state. Ms. Malone will only offer investment advisory products and services available through BRWA. This means that you may be able to receive a more appropriate advisory program from a different registered investment advisor.

## **Item 5 – Additional Compensation**

As an IAR, BRWA pays Ms. Malone a portion of any investment advisory fees collected. Other than normal production bonuses, Ms. Malone does not receive additional compensation based on the amount of new accounts or client referrals.

## **Item 6 – Supervision**

BRWA provides investment advisory and supervisory services in accordance with the BRWA Policies and Procedures. Each advisor associated with BRWA has been assigned a supervising principal. The supervising principal, or any properly documented qualified designee, is responsible for undertaking the day-to-day supervision of the advisor’s activities. Supervising principals have primary responsibility to review and approve the account activities of the advisors assigned to them and may counsel with the Compliance Department to address perceived issues as deemed appropriate. The Compliance & Supervision Departments also provide additional oversight functions, as necessary. Each BRWA advisor must acknowledge that he or she is aware of and agrees to abide by all applicable government and industry regulations as well as the BRWA Code of Ethics.

Ed Strauss, Regional Supervisory Officer, has primary responsibility for the supervision of Ms. Malone and may be reached at 813-337-0494.